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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- Class of New Derivative Securities Product: **Exchange Traded Fund**
- 4. Name of Underlying Instrument: FTSE Switzerland Capped Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based

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OMB APPROVAL

QMB Number: 3235-0504

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Ticker Symbol(s) of New Derivative Securities Product: **FLSW**

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: SWS Swiss
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

LQ Mgr

Telephone Number:

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Manual Symature of Official Responsible for Form:

Date: March 11, 2018

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Act

Securities Exchange Act of 1934

SEC 2449 (6-01)

Section Rule

Public

Availability:

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